



01-04-07

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Atsushi Ito

Ink-Jet Head Unit

Application No. 10/800,782

Filed: March 15, 2004

Art Unit: 2861

Examiner: Brian J. Goldberg


Atty. Docket No.: 501558.20014

Customer No.: 026418

SECOND INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
Alexandria, VA 22313

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on the enclosed Form PTO-1449 may be deemed to be pertinent to the above-identified application and is made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)	
I hereby certify that this paper (along with any document referred to as being attached or enclosed) is being	
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<input checked="" type="checkbox"/> Deposited with the United States Postal Service as Express Mail under 37 CFR 1.10 on January 3, 2007 and is addressed to the: Commissioner for Patents, Alexandria, VA 22313.	<input type="checkbox"/> transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.
Ruth Montalvo	Type Signature Name
	
(Signature of person mailing paper or fee)	(Signature of person mailing paper or fee)

Information Disclosure Statement

Atty Docket No. 501558.20014

Page No.2

1. ☒ Enclosed is PTO Form 1449.
2. ☒ Certain items listed on the enclosed Form PTO-1449 are not presented in the English language.
 - ☒ For each of the following items, an English-language translation of that item or a portion thereof or a concise explanation of the relevance of that item is attached:

JP Publication No. 11034342
 - ☐ For each of the following items, a concise explanation of that item is incorporated in page 2 of the specification of the above-identified applications:
 - ☐ For each of the following items, a corresponding U.S. or other English-language patent is enclosed. Please consider the U.S. or other English-language patents in accordance with the procedure set forth in MPEP § 609:

3. ☐ Submission in Accordance with 37 CFR § 1.98(d).

Any copy of the items listed on the enclosed Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in Application Serial No. [Ser. No.], filed [Date], of which the present application relies upon for an earlier filing date under 35 U.S.C. 120.

4. ☐ Submission in Accordance with 37 CFR § 1.97(b).

This Information Disclosure Statement is being filed

- ☐ With the application;
- ☐ Within three months of the filing date of a national application;
- ☐ Within three months of the date of entry of the national stage in an international application; or
- ☒ Before the mailing date of a first Office Action on the merits,

and NO FEE is due for consideration of this Information Disclosure Statement.

5. ☐ **Submission in Accordance with 37 CFR 1.97(c).**

This Information Disclosure Statement is being filed before the first mailing date of either

- ☐ A final action under § 1.113; or
- ☐ A notice of allowance under § 1.311.
- ☐ A fee is due for consideration of this Information Disclosure Statement and the fee is paid below; or
- ☐ This Information Disclosure Statement is accompanied by one of the certifications pursuant to 37 CFR § 1.97(e), and NO FEE is due for consideration of this Information Disclosure Statement.

6. ☐ **Submission in Accordance with 37 CFR 1.97(d).**

This Information Disclosure Statement is being filed after the mailing date of either

- ☐ A final action under § 1.113; or
- ☐ A notice of allowance under § 1.311,

whichever comes first, but before payment of the issue fee, and is accompanied by

- a. One of the certifications pursuant to 37 CFR § 1.97(e), which is set forth below;
- b. The attached petition requesting consideration of this Information Disclosure Statement; and
- c. The petition fee under 37 CFR § 1.17(i)(1), which is paid below.

7. **Certification Pursuant to 37 CFR 1.97(e).**

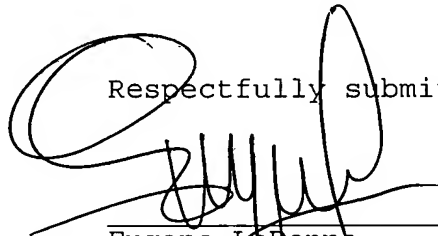
- ☐ The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ The undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three

months prior to the filing of this Information
Disclosure Statement.

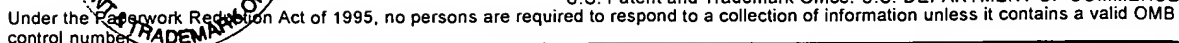
8. Fee Payment Being Made.

- ☐ Enclosed
- ☐ \$180.00 fee for submission under 37 CFR §
1.97(c).
- ☐ \$130.00 fee for submission under 37 CFR §
1.97(d).
- ☐ A check in the amount of \$[Amount] to cover the fee
payment is enclosed.
- ☐ Charge the fee to Deposit Account No. 50-1529. A
duplicate copy of this communication is attached.
- ☒ The Director is hereby authorized to charge payment
of any additional fees associated with this
Information Disclosure Statement or credit any
overpayment to Deposit Account No. 50-1529. A
duplicate copy of this communication is enclosed.

Respectfully submitted,



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Approved for use through 10/31/2002. OMB 0651-0031

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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